

## Governance and Audit Committee Action Record

Date of Committee / Minute Number	Agreed Action	Lead	Target Date	Progress	Date for action to be brought to Committee	Completed Date
18 April 2019 / 125	<b>Regional Internal Audit Shared Service Charter 2019/20</b> <ul style="list-style-type: none"> <li>Harmonisation of the Audit Committees Terms of Reference with neighbouring authorities.</li> </ul>	Head of Internal Audit	January 2021	Harmonisation of the Audit Committees Terms of Reference with neighbouring authorities is in the Forward Work Programme for January 2021 depending on Local Government Bill being published by Welsh Government.		Completed April 2021
18 April 2019 / 125	<ul style="list-style-type: none"> <li>Suggested consideration for potential networking initiative – Chairs of Audit Committees of neighbouring authorities to meet up and share best practice.</li> </ul>	Head of Internal Audit		All Wales Session for Audit Committee Chair took place on 11 <sup>th</sup> October 2019 - Completed.		Completed October 2019
10 September 2020 / 206	Annual Corporate Fraud Report 2019-20	Interim Chief Officer – Finance, Performance and Change & Head of Internal Audit	November 2020	A refreshed fraud strategy be presented to the next Committee.	April 2021	Completed April 2021
12 November 2020 / 214	Audit Wales Governance & Audit Committee Update	Audit Wales	January 2021	1. Reports on the Financial Sustainability of Local Government as a Result of the COVID-19 Pandemic  2. National Fraud Initiative be brought to the next meeting of the Committee.	June 2021  June 2021	
12 November 2020 / 215	Position Statement - 'Raising Our Game – Tackling Fraud In Wales' Report	Head of Internal Audit / Audit Wales  Head of Internal Audit	January 2021  January 2021	Internal Audit, Audit Wales and Tarian develop training on fraud for the Committee to undertake.  Officers present a report to the next meeting of the Committee on Fraud Risk Assessment.	June 2021  April 2021	Completed April 2021
12 November 2020 / 219	Disabled Facilities Grant – Progress Report and Position Statement	Head of Partnership Services	April 2021	A report on Disabled Facilities Grants be brought to the April meeting of the Committee.	April 2021	Completed April 2021
28 January 2021 / 225	Audit Wales Governance and Audit Committee Reports	Audit Wales  Interim Chief Officer –	April 2021	1. To receive a further update on the External Audit Plan, to include outcomes in respect of the Audit of the Statement of Accounts 2020-21. 2. To receive an update on Grants and Returns to include details of the completed grants work	April 2021  April 2021	Completed April 2021  Completed April 2021

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		Finance Performance and Change Audit Wales		3. To receive a further update on the Financial Sustainability of local governments (more particularly BCBC) as a result of austerity and the Covid-19 pandemic.	June 2021	
28 January 2021 / 226	Treasury Management Strategy 2021-2022	Interim Chief Officer – Finance Performance and Change	N/A	For Members to note that a report on this item was considered and approved by Budget Council on 24 February 2021, as part of the Council's overall Medium Term Financial Strategy (MTFS)	April 2021	Completed April 2021
28 January 2021 / 227	Corporate Risk Assessment 2021-2022	Interim Chief Officer – Finance Performance and Change	Completed/ Ongoing	<ol style="list-style-type: none"> <li>1. That the Committee continues to receive regular updates on how major risks of the Council have or are proposed to be mitigated</li> <li>2. That Risk 2 on the Action Plan (Transformation and Planned savings) that accompanied the report be reviewed.</li> <li>3. That Risk 6 in the Action Plan be removed.</li> <li>4. That the Chairperson of the Committee meets with the Corporate Director – Education and Family Support on the school based Risks, referenced as Risks 14 and 15 on the Action Plan</li> </ol>	In Forward Work Programme  April 2021  April 2021  April 2021	Completed April 2021  Completed April 2021  Completed April 2021
28 January 2021 / 229	Effectiveness of Audit Committee – Self-Assessment	Head of Internal Audit	June 2021  June 2021	<ol style="list-style-type: none"> <li>1. That Committee awaits a further report on the content of responses of Members as detailed in the completed and returned questionnaires.</li> <li>2. That Members note that a virtual meeting had taken place since the last Committee meeting between the Chairperson and Members of the Committee, on any training needs they have identified going forward. This would form the subject of a further report.</li> </ol>	June 2021  June 2021	Completed - Updated report taken to Committee 22nd April 2021. Audit report finalised and issued to the Chair and Chief Officers on 4th June 2021
22 April 2021 / 240	Local Government and Elections (Wales) Act 2021	Interim Chief Officer – Finance, Performance and Change	November 2021	That Committee awaits a report to consider issues regarding the Complaints process, including the different types of these as well as how many are received over a specific period of time.	November 2021	
22 April 2021 / 241	Disabled Facilities Grant – Progress Report and Position Statement	Head of Partnerships	July 2021	That Committee awaits data information, should this be available, on the average number of days taken to deliver a Disabled Facilities Grant for the year 2019/20 and 2020/21, should this be available (due to the Covid-19 pandemic).	July 2021	